

## **Intermetallic Compounds in Solder Alloys: The Common Misconception**

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### **ABSTRACT**

Intermetallic compounds (IMC) or intermediate phases are formed between two or more metallic elements in many metal alloy systems. During soldering, an IMC is formed at the soldered interface as the molten solder reacts with an element in the substrate. IMCs also can form within the bulk solder as the joint solidifies. IMCs have critical roles in the solder joint quality and reliability. Unlike most metal alloys, an intermetallic compound typically has a fixed stoichiometry and is in variance with the conventional phases or constituents in the metal system (e.g., alpha and beta). An IMC has a different crystal structure than any of its constituents and seldom has all the characteristics and properties of its constituents. Ductility is an important solder joint property, and the low intrinsic ductility of IMCs has been associated with brittle behavior and reliability risk in service. However, a review of published solder field failures shows little evidence that IMC properties or IMC evolution under service conditions reduce solder joint reliability. The emphasis on the influence of IMC phases on solder joint reliability in the electronics industry is clearly overstated. Most IMC-induced solder joint failures are found to result from incorrect material specification or uncontrolled soldering processes. This paper describes the IMCs that occur typically in eutectic, Sn63Pb37, near-eutectic SAC305, and high-performance tin-based Pb-free solder alloys. The paper also describes the potential impact of IMCs on the solder joint reliability for these alloys.

Key Words: Intermetallic Compound (IMC), tin/lead solder, Pb-free solder

### **INTRODUCTION**

In soldering, an IMC is formed by the reaction of the molten solder with the substrate and is a critical element in solder joint reliability. The thickness of this metallurgical bond increases with the time the molten solder is in contact with the substrate being soldered. Tin based solder alloys form an IMC thickness that is typically 1-3 um for most soldering processes used in the printed circuit assembly processes [1]. The IMC thickness can increase through solid state conditions. The physical properties of IMCs are typically quite different than the solder and substrate materials. In general IMCs are stronger and have lower ductility (aka brittle) which can have an effect on the overall solder joint reliability. Excessive IMC thickness due to incorrect or out of control soldering processes can become a crack initiation region during the deformation of a solder joint. Although solder alloys are regarded as ductile materials, they can exhibit brittle physical properties under certain conditions. Figure 1 illustrates solder alloy ductile to brittle behavior. IMC phases can also be found in the solder microstructure matrix where they can affect the solder joint microstructure and properties.

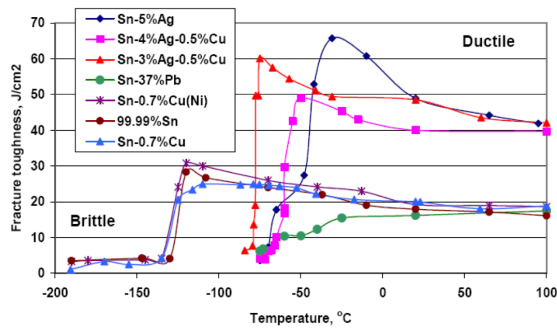


Figure 1: Solder Alloy Ductile to Brittle Behavior [2]

### IMCS IN SnPb SOLDER ALLOYS

Copper is one of the most widely utilized substrate surfaces used in the formation of solder joints. The identification of specific IMC phases is accomplished using phase diagrams. In tin-based solder alloys, two IMCs are formed -  $\text{Cu}_6\text{Sn}_5$  and  $\text{Cu}_3\text{Sn}$  (Figure 2). The  $\text{Cu}_6\text{Sn}_5$  IMC forms during the initial formation of the solder joint. The  $\text{Cu}_3\text{Sn}$  IMC phase forms over time as the  $\text{Cu}_6\text{Sn}_5$  IMC phase creates a diffusion barrier slowing the movement of the copper atoms. Figure 3 illustrates both the  $\text{Cu}_6\text{Sn}_5$  and  $\text{Cu}_3\text{Sn}$  IMC phases after solder joint formation and thermal cycling. The  $\text{Cu}_6\text{Sn}_5$  IMC phase is also found in the solder joint microstructure matrix (Figure 4). The IMC thickness formed in most soldering processes is between 1 and 3  $\mu\text{m}$  [1].

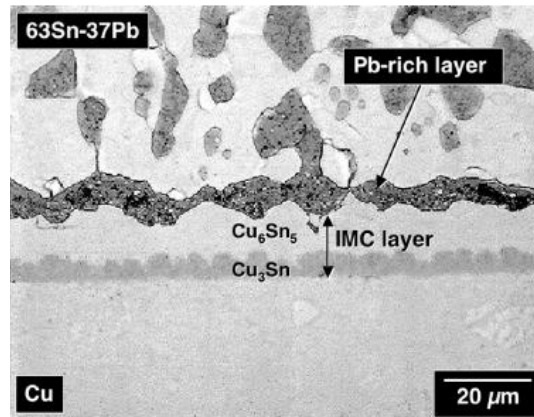


Figure 3: Copper Tin IMC Phases in SnPb Solder [5]

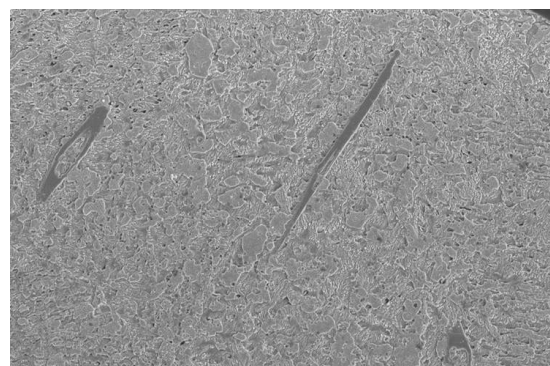


Figure 4: Large  $\text{Cu}_6\text{Sn}_5$  IMC Phase Needles Observed in a SnPb Solder Joint [6]

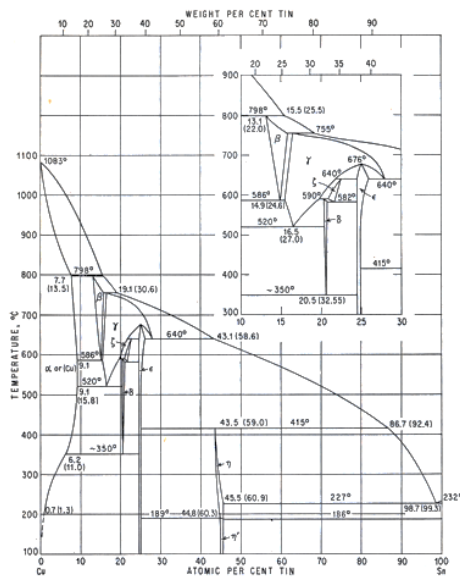


Figure 2: Copper Tin Phase Diagram [3, 4]

The use of nickel as a solderable substrate in Sn based solder alloys, primarily found with the use of electroless nickel/immersion gold (ENIG) printed circuit board finishes and in ball grid array (BGA) pad surface finishes, results in the formation of  $\text{Ni}_3\text{Sn}_4$  IMC phase (Figure 5). Figure 6 illustrates the  $\text{Ni}_3\text{Sn}_4$  IMC phase at the solder joint/substrate interface.

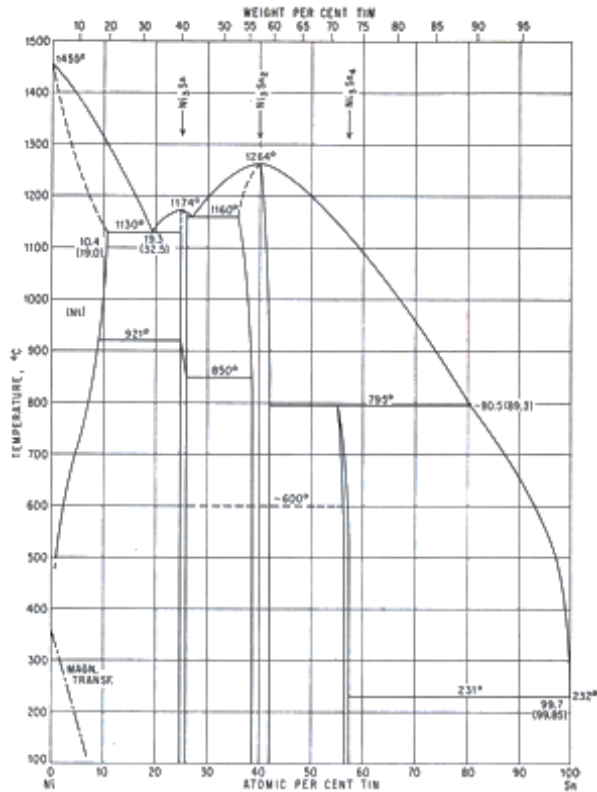


Figure 5: Tin Nickel Phase Diagram [3,4]

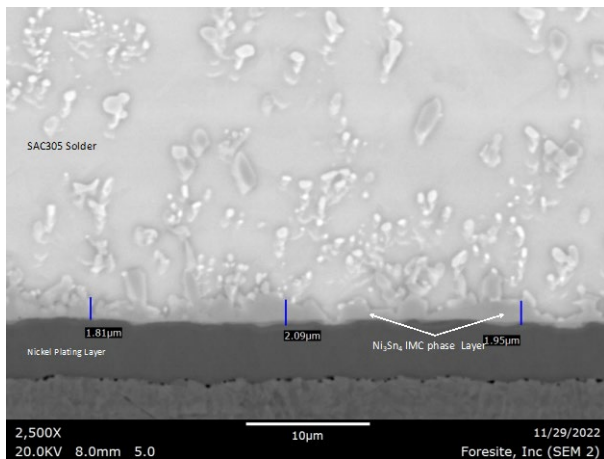


Figure 6: Ni<sub>3</sub>Sn<sub>4</sub> IMC Phase at the Solder Joint/Substrate Interface (Photo courtesy of Terry Munson, Foresite)

### IMC PHASES IN SAC SOLDER ALLOYS

The Sn-based SAC alloys form the same copper IMC phases (Cu<sub>6</sub>Sn<sub>5</sub>, Cu<sub>3</sub>Sn) as discussed in the SnPb solder alloy section. Hodulova et al [7], using thermal aging processing, determined that the Cu<sub>6</sub>Sn<sub>5</sub> phase formed during the soldering process and the Cu<sub>3</sub>Sn phase formed during thermal aging. The Cu<sub>3</sub>Sn phase thickness was limited by the Cu<sub>6</sub>Sn<sub>5</sub> phase acting as a diffusion inhibitor. The copper IMC phases growth and

thicknesses are sensitive to the higher copper concentrations found in the Sn-based SAC alloys.

In addition to the copper and nickel-based IMC phase discussed, the Sn-based, SAC alloys have silver-tin IMC phases since they utilize Ag as a primary constituent element addition. Figure 7 tin/silver phase diagram shows solubility of Ag in Sn of less than 0.1 wt. % up to 200°C. During solidification of SAC solders, the Ag and Sn react to form networks of Ag<sub>3</sub>Sn precipitates at the primary Sn dendrite boundaries (Figure 8).

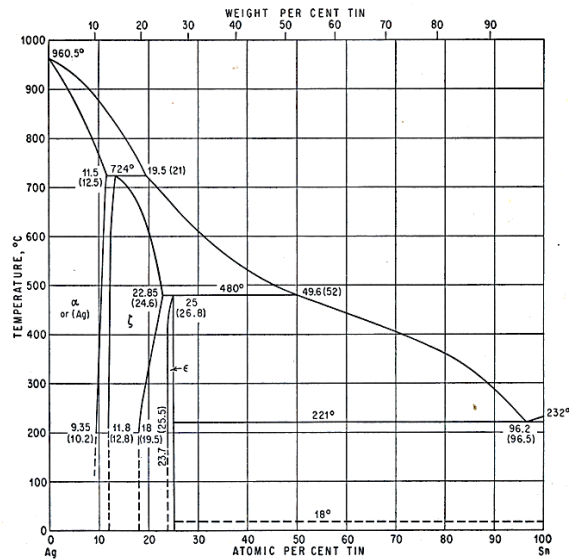


Figure 7: Silver/Tin Phase Diagram [3,4]

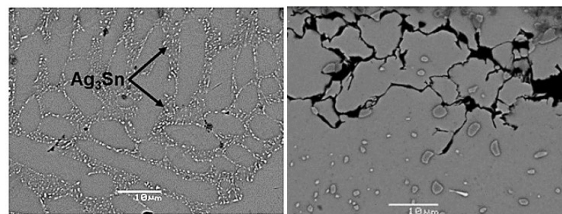


Figure 8. Backscattered scanning electron micrographs illustrating Ag<sub>3</sub>Sn IMC Phase in SAC305: As Solidified (left), After Thermal Cycling [8]

### Gold IMC Phase In SnPb And SAC Solder Alloys

Gold plating as a solderable surface finish for both components and printed circuit boards in the electronics industry for decades. Gold is primarily plated over nickel, to prevent the formation of nickel oxide which has extremely poor solderability characteristics. Gold surface finishes plating provide three distinct attributes: (1) gold is an excellent electrical contact surface due to its low electrical resistance and good solderability, (2) gold does not form an oxide layer which leads to maintenance of excellent solderability during storage and (3) gold is used as a sacrificial surface finish rather

than a primary solder finish, as it dissolves into the solder leaving behind a solder-nickel interface in the solder joint. Figure 9 tin/gold phase diagram shows solubility of Au in Sn of less than 0.3 wt. % from room temperature to 200°C.

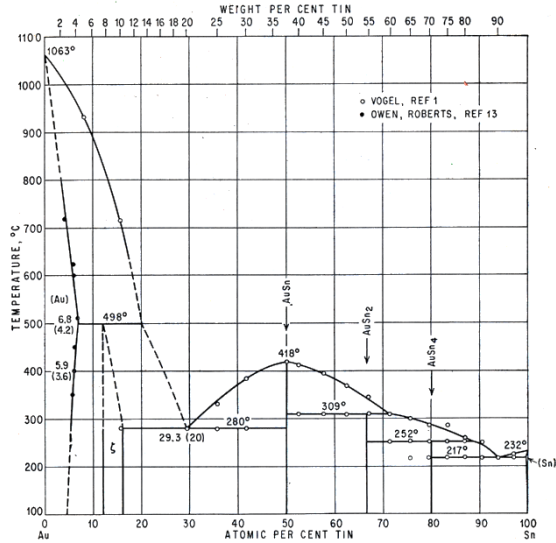


Figure 9: Gold/Tin Phase Diagram [3,4]

Bader documented the dissolution rate of gold into a 60Sn40Pb solder alloy recording a dissolution rate of approximately 1  $\mu\text{m}/\text{second}$  at 200°C [9]. Hillman et al. documented the dissolution rate of gold in SAC305 solder of approximately 6  $\mu\text{m}/\text{second}$  at 250°C [10]. Figure 10 and Figure 11 show the differences of gold dissolution rate compared with other metals in SnPb and SAC305 solder alloys. The high solubility and fast dissolution rates of gold in molten solder make it well suited as a sacrificial surface finish for both SnPb and Pb-free soldering processes.

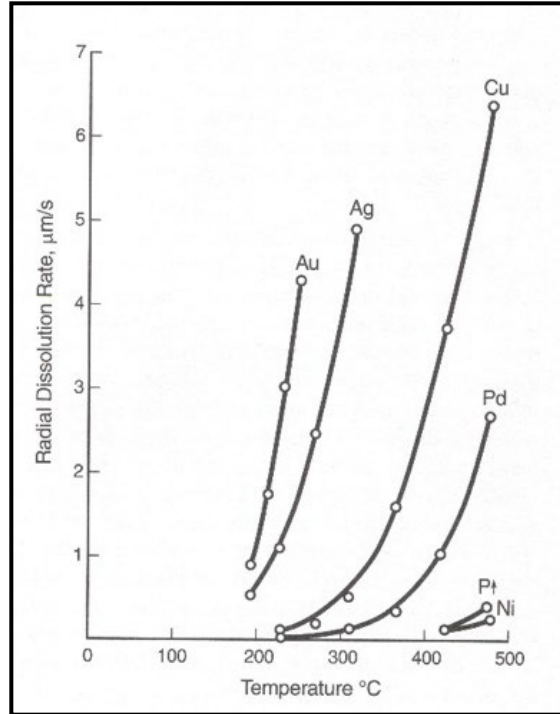


Figure 10: Dissolution Rates of Various Elements in 60Sn40Pb Solder [9]

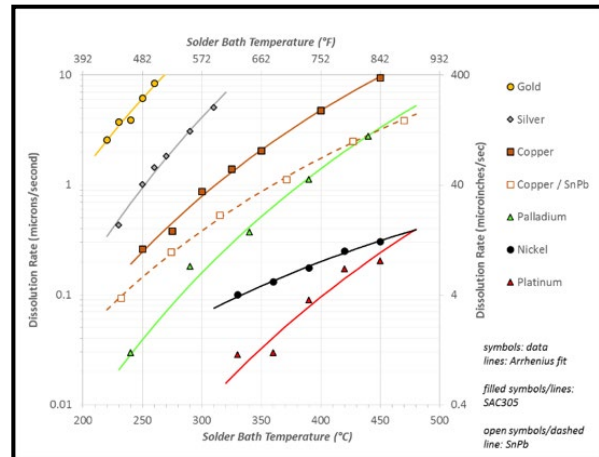
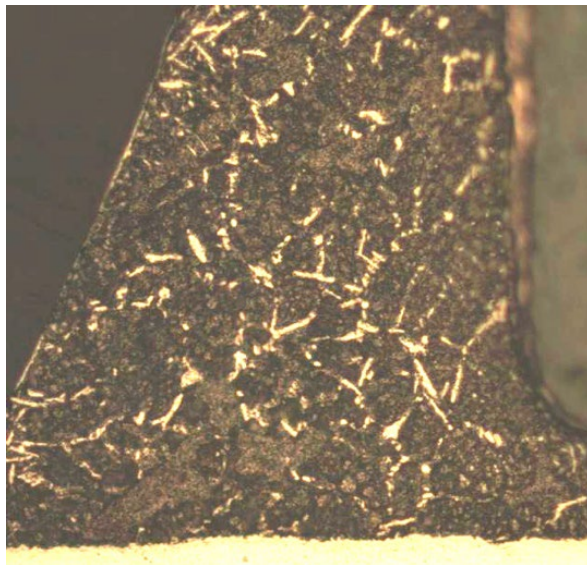
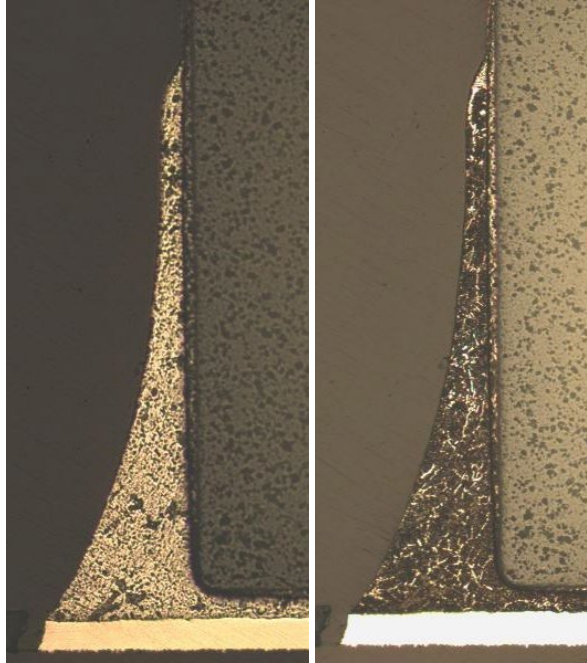
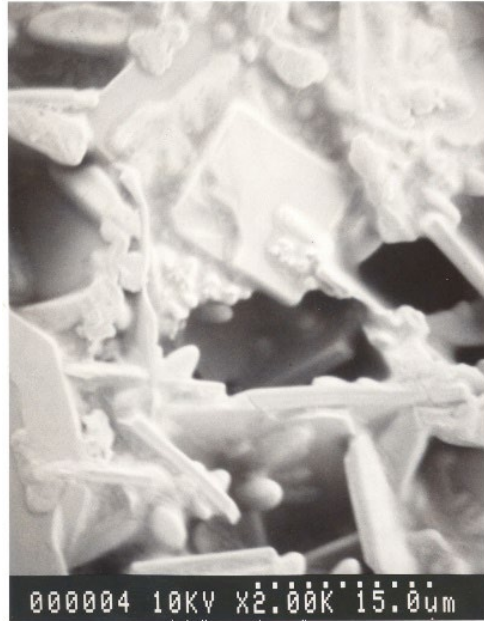


Figure 11: Dissolution Rates of Various Elements in SAC305 Solder compared with Cu dissolution into SnPb [10] Note that the vertical scale is log (dissolution rate)

The AuSn<sub>4</sub> IMC phase forms as high-aspect ratio platelets in solder joints. Figure 12 illustrates the AuSn<sub>4</sub> platelet structure in a SnPb solder joint. When the gold concentration in the solder joint exceeds approximately 3-5% by weight, the elongated platelets form and can influence the solder joint reliability. Figure 13 is a scanning electron microscopy image showing AuSn<sub>4</sub> IMC platelets.

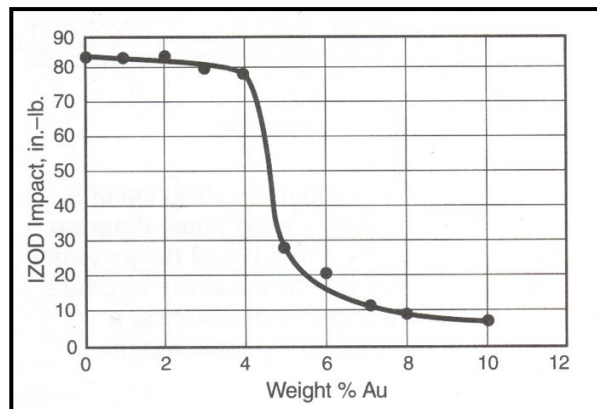


**Figure 12:** Optical photomicrograph of a solder joint containing AuSn<sub>4</sub> IMC phase (white platelets). Upper left, as-polished, Upper right, Carapella's reagent, Lower center - higher magnification of etched sample (Photos courtesy of Collins Aerospace).



**Figure 13:** Scanning electron microscopy image of etched Sn-Pb solder joint containing AuSn<sub>4</sub> IMC platelets (Photo courtesy of Collins Aerospace)

The origin of the 3-5wt% Au limit comes from Bester who conducted IZOD impact testing demonstrating the effect of the amount of gold/tin intermetallic phase on the solder joint integrity as a function of the gold content of the solder joint up to 10 wt.% Au [11]. As shown in Figure 14, Bester's test results show a pronounced IZOD test data impact, with compositions less than approximately 4 wt.% Au content show a small drop in performance but with a pronounced drop in performance at 5wt% Au. These results demonstrated that a small fraction of AuSn<sub>4</sub> platelets in the solder joint microstructures (below 3-4 wt.% Au) do not degrade the solder joint integrity as measured by impact testing. Solder joint integrity degrades in the 3- 5wt% Au range.



**Figure 14:** Bester's IZOD impact test data as a function of gold concentration [11]

### Palladium IMC In SnPb And SAC Solder Alloys

Palladium and tin form a brittle intermetallic phase in both SnPb and SAC solder alloys, PdSn<sub>4</sub>, which causes the degradation of solder joint integrity in a similar mode as gold/tin IMC phases. The PdSn<sub>4</sub> phase forms as platelets in the solder joint when the palladium concentration in the solder joint exceeds approximately 0.3%-1.0% by weight (Figure 15). The primary difference between palladium and gold is the diffusion rate into molten solder. As shown in Figure 11, the dissolution rate of palladium is orders of magnitude slower than gold and can result in an increased risk of embrittlement. Industry investigations [12, 13, 14] have reported the formation of the brittle PdSn<sub>4</sub> intermetallic phase for palladium plating thicknesses in the 20-30 microinch range. Palladium finishes with thicknesses less than 20 micro inches have been shown not impact solder joint thermal cycle integrity [12, 15].

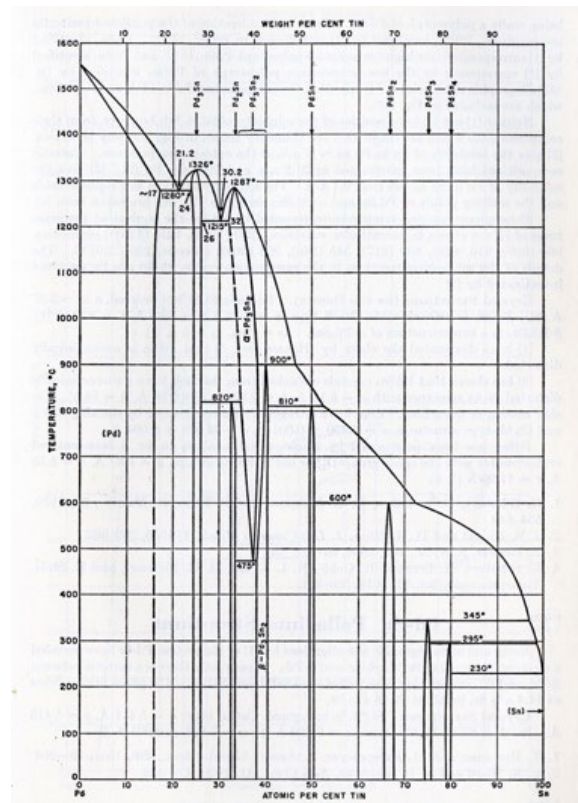


Figure 15: Palladium/Tin Phase Diagram [3,4]

### IMCS IN GENERATION 3 LEAD-FREE SOLDER ALLOYS

#### Metallurgical Considerations

The addition of Ag strengthens Sn and improves the creep resistance of the SAC solder by precipitation hardening as illustrated in Figure 8. The addition of other alloying elements can improve the creep resistance of the

solder by means of two other well-known metallurgical strengthening mechanisms, solid solution hardening and dispersion hardening. The introduction of solute atoms into solid solution of a solvent-atom lattice invariably produces an alloy that is stronger than the pure metal [16]. Figure 16 shows a simplified schematic illustration of substitutional solid solution strengthening. Substitutional or interstitial solute atoms strain the lattice and dislocation movement, or deformation is inhibited by interaction between dislocations and solute atoms incorporated into the  $\beta$ -Sn lattice.

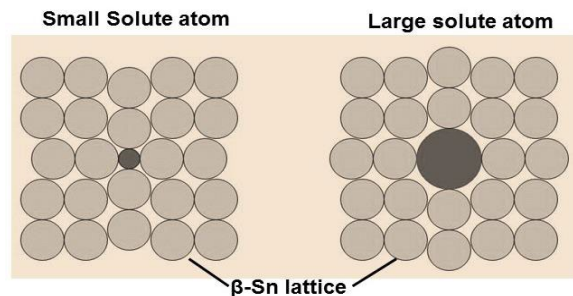


Figure 16: A simple schematic illustrating lattice distortion due to substitutional solute atoms.

If solute atoms precipitate from solution during thermal excursions in service, the solder alloy may strengthen subsequently due to dispersion hardening. Dispersion strengthening occurs when insoluble particles are finely dispersed in a metal matrix. Typical dispersion strengthened alloys employ an insoluble, incoherent second phase that is thermally stable over a large temperature range (Figure 17) [17]. For Sn-based solder alloys, the strength would be derived from a combination of increased solid solution strengthening at higher temperatures due to increased solubility, and dispersion strengthening that would supplement the solid solution effect at lower temperatures where solubility has decreased.

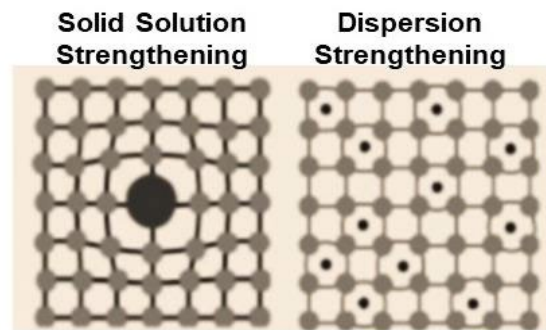


Figure 17: A simple schematic illustrating solid solution (left) and dispersion strengthening (right).

The development of Innolot provides evidence that substitutional solid solution strengthening can improve resistance to creep and fatigue at higher temperatures in Sn- based, Pb-free solders [18]. The current working hypothesis is that solid solution and dispersion strengthening not only supplement the Ag<sub>3</sub>Sn precipitate hardening found in SAC solders but continue to be effective once precipitate coarsening reduces the effectiveness of the intermetallic Ag<sub>3</sub>Sn precipitates [19].

The elements proposed most for improving elevated temperature properties in Sn-based, third generation solders are Bi, Sb and In. Bi and In, when used as a major alloying elements, also reduce the melting point of most solder alloy formulations, while the addition of Sb tends to increase the melting point [20]. These modified SAC alloys are off-eutectic compositions and are characterized by non-equilibrium solidification and often significant melting ranges [21-23].

### Antimony (Sb) Additions to Tin (Sn)

The binary Sn-Sb phase diagram in Figure 18 shows solubility of Sb in Sn of approximately 0.5 wt. % at room temperature to 1.5 wt. % at 125°C. Thus, some contribution is expected from solid solution strengthening due to Sb dissolved in Sn-based Pb-free solders [18].

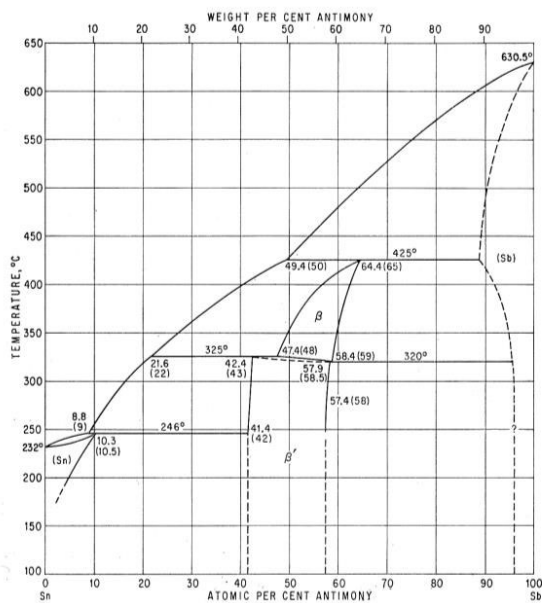


Figure 18: The Sn-Sb binary phase diagram [3,4]

Alloying with Sb may improve performance through other strengthening mechanisms. Studies by Li et al show that Sb slows the growth rate of Cu<sub>6</sub>Sn<sub>5</sub> intermetallic compound (IMC) layers at attachment

interfaces [24, 25]. Fast interfacial IMC growth on Cu surfaces tends to produce irregular and non-uniform IMC layers. This can lead to reduced mechanical reliability by inducing fractures at IMC interfaces or through the IMC in drop/shock loading [26].

Figure 18 also shows that Sb has the potential to form multiple different intermediate phases or IMCs with Sn (Sb<sub>2</sub>Sn<sub>3</sub>, SbSn, Sb<sub>4</sub>Sn<sub>3</sub>, Sb<sub>5</sub>Sn<sub>4</sub>, and SbSn<sub>2</sub>) in the bulk solder. Lu et al [27] and El-Daly et al [28] identified SnSb intermediate phase precipitates < 5µm in size and distributed throughout the Sn dendrites. Beyer et al show that Sn5Sb and Sn8Sb alloys have increased shear strength and ductility compared to conventional SAC solders and maintain their shear strength with good ductility after isothermal aging [29]. El-Daly suggests Sb also can improve creep performance and tensile strength [30]. In this case, the SbSn precipitates form within the Sn dendrites, unlike the well-known SAC Ag<sub>3</sub>Sn mechanism, where the precipitates form at the Sn dendrite boundaries. The SbSn precipitates work to resist recrystallization by strengthening the Sn dendrites [16].

### Indium (In) Additions to Tin (Sn)

The binary Sn-In phase diagram in shown in Figure 19. While there is disagreement over the solid solubility of In in Sn, a reasonable estimate is ~7 wt. % at room temperature and as much as 12 wt. % at 125°C [3,4]. Because of its range of solubility in Sn, In has been explored as a solid solution strengthening agent in Sn-based Pb-free solders [31-32]. The equilibrium diagram shows that In forms two intermediate phases (β and γ) of variable composition with Sn [3,4] but does not appear to form any true stoichiometric compounds with Sn.

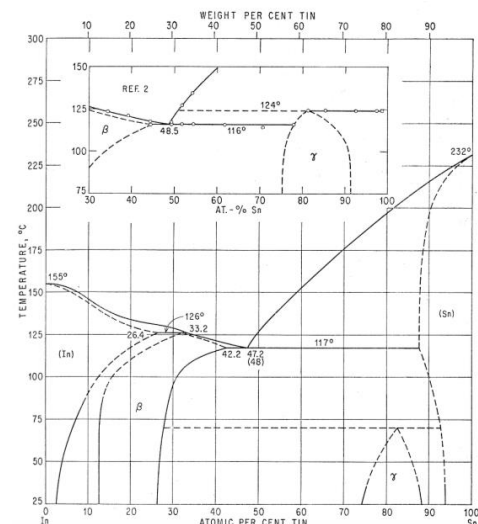


Figure 19: The In-Sn binary phase diagram [3,4]

Results from multiple solder alloy studies indicate that In additions can improve drop and shock resistance by slowing the growth of interfacial IMC layers. Yu et al report improved drop [33] and thermal shock [34] performance by adding as little as 0.4% In, and Amagai et al report improved drop performance at or below 0.5 % In [35]. Hodulová et al In slows growth of  $\text{Cu}_3\text{Sn}$  and that a hybrid IMC phase  $\text{Cu}_6(\text{Sn}, \text{In})_5$  forms [36]. Sharif also observed the formation of the  $\text{Cu}_6(\text{Sn}, \text{In})_5$  IMC as well as formation of  $(\text{Cu}, \text{Ni})_3(\text{Sn}, \text{In})_4$  on Ni substrates [37], and these IMCs also could be found in the bulk as well as the interfaces. In substitutes for Sn which fundamentally is different than the common modified IMCs  $(\text{Cu}, \text{Ni})_6\text{Sn}_5$  or the  $(\text{Ni}, \text{Cu})_3\text{Sn}_4$  where Cu and Ni exchange.

Other reactions can occur when In is added to SAC-based solders, and this complicates the ability to understand the effect of In content on solder joint reliability. In a study by Chantaramanee et al additions of 0.5% In or Sb in combination with In was found to promote formation of  $\text{Ag}_3(\text{Sn}, \text{In})$  and  $\text{SnSb}$  [38]. They reported that small precipitates reduced the Sn dendrite size by 28%, but they were unable to determine the relative influence of In versus Sb on this reaction. With alloys containing In of the order of 10 %, Sopoušek et al found that some of the  $\text{Ag}_3\text{Sn}$  transforms to  $\text{Ag}_2(\text{Sn}, \text{In})$  and  $\text{Ag}_2\text{Sn}$  [39]. These observations are consistent with the Ag-Sn binary phase diagram that shows  $\text{Ag}_3\text{In}$ ,  $\text{Ag}_2\text{In}$ , and  $\text{AgIn}_2$  [17]. Wang et al reported that an addition of 1% In caused larger or coarser  $\text{Ag}_3\text{Sn}$  precipitates [40]. This is an interesting observation, since  $\text{Ag}_3\text{Sn}$  precipitate coarsening (larger precipitates at time zero) could reduce thermal cycling reliability. In principle there is a large solid solubility of In in Sn, but the effective In content in a SAC-based solder may be diminished by interactions with other elements to form multiple phases.

It is noteworthy that many of the studies were conducted using laboratory bulk solder samples with microstructures that are likely to be atypical of microelectronic solder joints. Some of the studies also included more than one significant alloy addition [e.g., 38], which makes it difficult to isolate effects due to individual alloying elements. The work by Wada et al [31,32], while it includes tensile testing with comparatively large, bulk samples, also includes thermal cycling and drop testing with surface mount components. Their microstructural analysis included X-ray diffraction and they found  $\text{InSn}_4$ ,  $\text{In}_4\text{Ag}_9$ ,  $\text{Ag}_3(\text{Sn}, \text{In})$ , and possibly  $\alpha\text{Sn}$  in addition to  $\beta\text{Sn}$ . Wada concluded that the optimum ductility and reliability was achieved with an In content of 6 wt. %.

### Bismuth (Bi) Additions to Tin (Sn)

The binary Sn-Bi phase diagram is shown in Figure 20. The solubility of Bi in Sn is approximately 1.5 wt. % at room temperature and increases to almost 7 wt. % at 100°C room temperature, and as much as 15 wt. % at 125°C [3,4]. There is almost no solubility of Sn in Bi, and no intermediate phases or IMC are found in the Sn-Bi system.

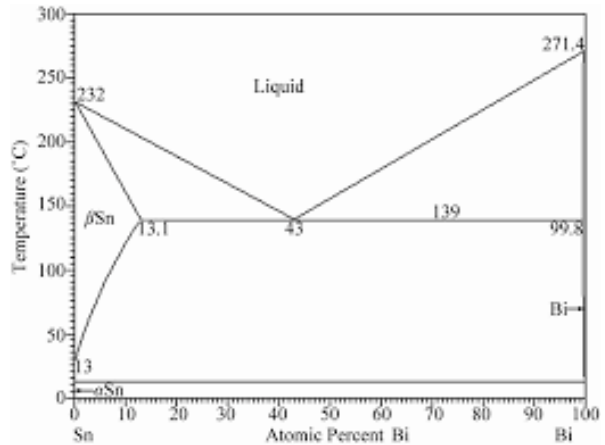


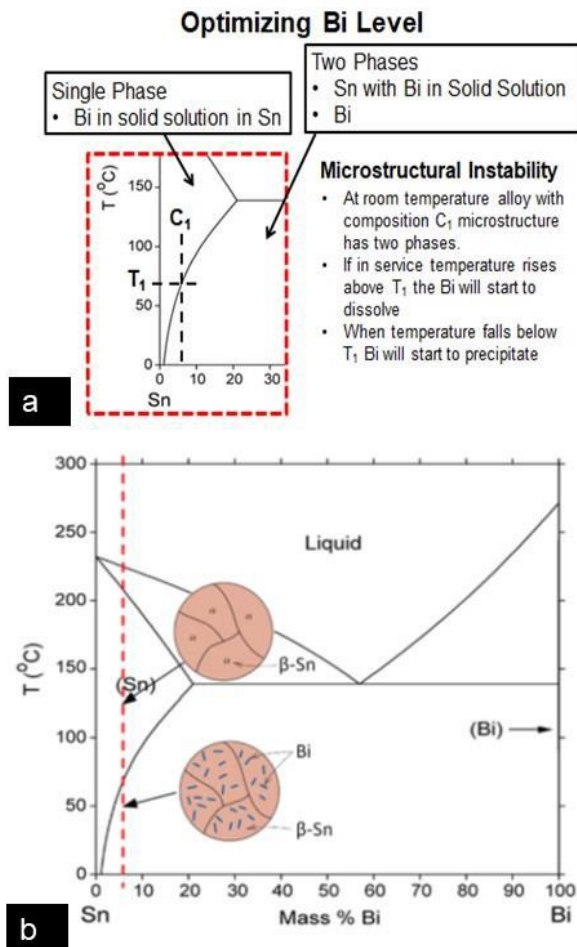
Figure 20: The Sn-Bi binary phase diagram [3,4]

Multiple studies have shown that Bi improves the mechanical properties of Sn and SAC solders [41, 18, 19, 42-54]. Vianco [42,43] and Witkin [46, 50-52] have done extensive mechanical testing and microstructural analysis and discuss the dual strengthening mechanisms of Bi in solid solution and Bi precipitated within Sn dendrites and at Sn boundaries. Delhaise et al [53] reported results from their study of the effects of thermal preconditioning (aging) on microstructure and property improvement in an alloy containing 6 wt. % Bi. They suggest that strain from Bi precipitation induces recrystallization and an increase in the amount of Sn grain boundaries which in turn, are pinned by the Bi precipitates at those boundaries. These microstructural features work in conjunction with Bi in solid solution to resist creep deformation.

The results from the fundamental studies by Vianco [42,43] and Witkin [46, 50, 51] leave no doubt that Bi additions can have a positive effect on the physical properties of Sn and Sn-based solder alloys. However, those studies used cast, bulk alloy samples and it is debatable if those results can be scaled effectively to smaller, microelectronic solder joints. Nishimura et al for example, recommend a maximum Bi content of only 1.5 wt. % (Figure 21a) because of the uncertainty that the alloying effect will be sustained as the microstructure evolves in response to the thermal cycling in normal service [48]. Delhaise has shown that the Bi distribution and microstructure depend on solidification conditions



and subsequent thermal exposure, which determine the relative contributions of Bi to solid solution and dispersion strengthening (Figure 21b). Furthermore, it is possible that adding enough Bi to take advantage of the Bi solubility limit at higher temperatures may have a negative effect because Bi does not always precipitate homogeneously. Clustering of Bi is known to occur [53] and in the extreme case, stratification or segregation may induce brittle behavior [55,56].



**Figure 21:** Emphasis on the Sn-rich regions of the Sn-Bi binary phase diagram showing: a) Factors to consider when for optimizing the Bi level, courtesy, K. Sweatman [54], and b) Microstructures shown schematically for solid solution (upper) and dispersion strengthening (lower) with the 6 wt. % Bi alloy (Violet), from Delhaise [53].

These intermetallic precipitates are recognized as the primary strengthening mechanism in SAC solders [57, 58, 42, 43]. During thermal or power cycling and extended elevated temperature exposure, the  $Ag_3Sn$  precipitates coarsen and become less effective in inhibiting dislocation movement and slowing damage

accumulation. This pattern of microstructural evolution is characteristic of the thermal fatigue failure process in these Sn-based Pb-free alloys and was described originally in detail by Dunford et al in 2004 [20]. Figure 8 shows scanning electron micrographs illustrating coarsening of the  $Ag_3Sn$  precipitates in SAC305 solder caused by thermal cycling.

## DISCUSSION

It would be incorrect to state that the various IMC phases described in the paper have no influence on solder joint reliability. However, the emphasis on the influence of IMC phases on solder joint reliability is clearly overstated in much of the published literature. The following five case studies document outlier/corner case examples.

### Case #1: Literature Investigations

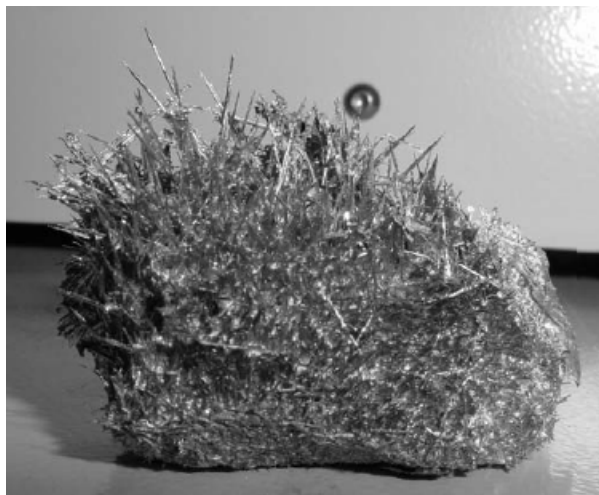
The authors review 1,549 papers in the Journal of Electronic Materials and 951 papers in the IEEE *Xplore* publication databases looking for publications on product or field failures relating to IMC phases are the failure root cause. Only two published papers were found: (1) C. Lim et al., “Failure Characterization of BGA Solder Joint Fracture During Field Application” which concluded the solder joint failure was due to excessive IMC thickness due to multiple reflow cycles [59]; (2) Y. Mo et al., “Failure Analysis on the BGA Solder Joint” which concluded that the solder joint failure was due to irregular 25.75  $\mu m$  IMC thickness [60].

### Case #2: Microcracking of Copper/Tin IMC Phases Impacting Specific Product Applications

Hodolova et al found that the  $Cu_6Sn_5$  IMC phase developed micro-cracks at the IMC phase after oven thermal aging from 130C - 170C for 2-16 days and thermal cycle conditioning [7]. These micro-cracks illustrated brittleness of the IMC phase, but overall degradation of the solder joint would require significant mechanical deformation. Their work also demonstrated that the constituent additions of bismuth and indium impacted the IMC phase growth rates and decreased the overall rated of IMC phase micro-cracking. Similarly, K Sweatman et al, investigated the influence of nickel and reflow profile parameters on the microcracking of the  $Cu_6Sn_5$  IMC phase [61,62]. The microcracking observed in the solder joints would not be considered a major reliability issue but could pose a solder joint cracking risk in certain specific commercial product applications.

### Case #3: Assembly Failure Due To Improper Maintenance

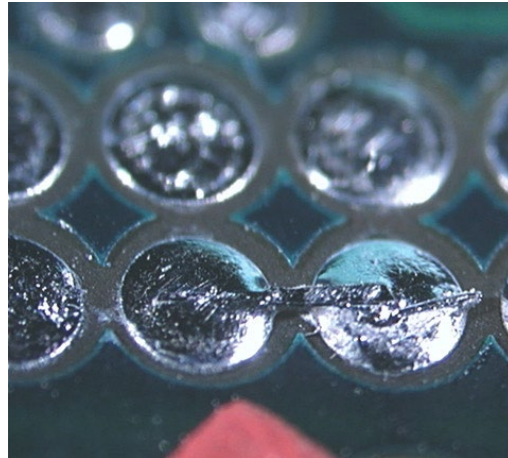
The introduction of SAC soldering processes resulted in significant changes to wave solder and selective solder equipment due to the increased dissolution properties of SAC solder in comparison to SnPb solder. The solder pots containing the molten SAC solder alloy required either a protective coating or be constructed of a dissolution resistant metal such as titanium. Solder pots made from iron-based metals could be significantly damaged due to dissolution of the iron by the molten solder resulting in the formation of iron/tin IMC needle-like structures. A review of the iron/tin phase diagram shows that two intermetallic compounds (IMCs) can be formed – FeSn or FeSn<sub>2</sub> which are not wettable by solder. D. Barbini [63] documented the formation of FeSn<sub>2</sub> IMC structures due to the increased dissolution capacity of lead-free solders. FeSn<sub>2</sub> IMC structures have a higher density than molten tin/lead or lead-free solder; therefore, if they form, they will sink to the bottom of a wave solder pot, which results in their accumulation and damage to the wave solder pot impellers without proper maintenance. Figure 22 illustrates a wave solder pot accumulation of FeSn<sub>2</sub> IMC structures [63]. Manko [64], Schlessmann [65], and Diepstraten & Trip [66] all documented similar formations of FeSn<sub>2</sub> IMC structures due to dissolution of iron from a wave solder pot.



**Figure 22:** FeSn<sub>2</sub> IMC Structure Due To Solder Pot Damage [63]

Cookson Electronics documented an identical analysis of FeSn<sub>2</sub> IMC due to excessive preventative maintenance of a tin/lead wave solder process [67]. Overly aggressive scrapping of the wave solder pot during dross removal was found to have damaged the wave solder pot protective coating, which allowed for the dissolution of iron and the formation of the FeSn<sub>2</sub> IMCs. The FeSn<sub>2</sub> IMC structures were then transferred by the molten

solder forming bridged solder joints on printed wiring assemblies (see Figure 23).

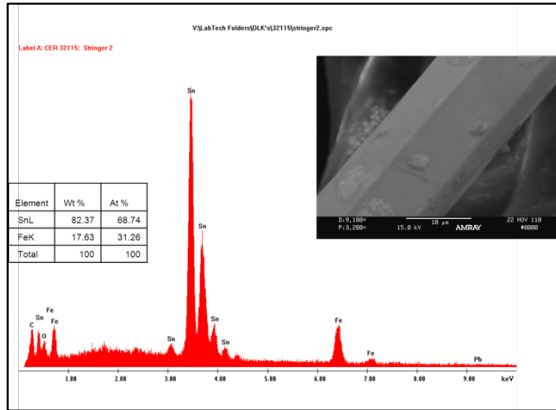


**Figure 23:** FeSn<sub>2</sub> IMC Phase Solder Defect [67]

Improper maintenance of SnPb wave solder and selective solder equipment is not immune from the possible impact of FeSn<sub>2</sub> IMC phase defects. Figure 24 illustrates a similar defect root cause for a SnPb wave solder system where the FeSn<sub>2</sub> IMC phase formation resulted in the shorting of solder joints [6]. Scanning electron microscopy identified the IMC phase as FeSn<sub>2</sub> (Figure 25).



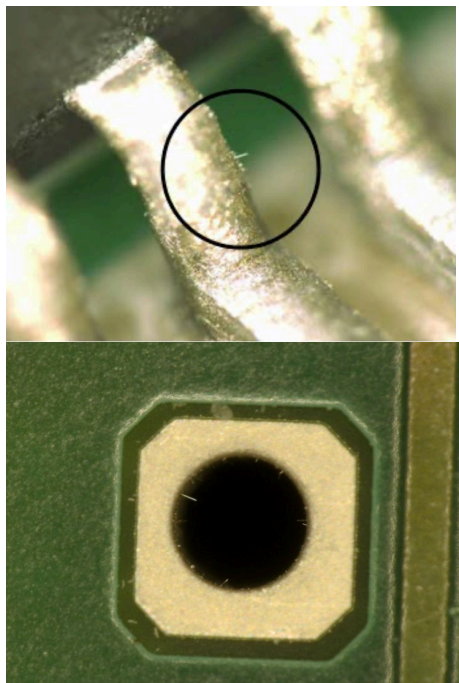
**Figure 24:** FeSn<sub>2</sub> IMC Phase Shorting Defect



**Figure 25:** SEM Identification of the IMC Phase As FeSn<sub>2</sub>

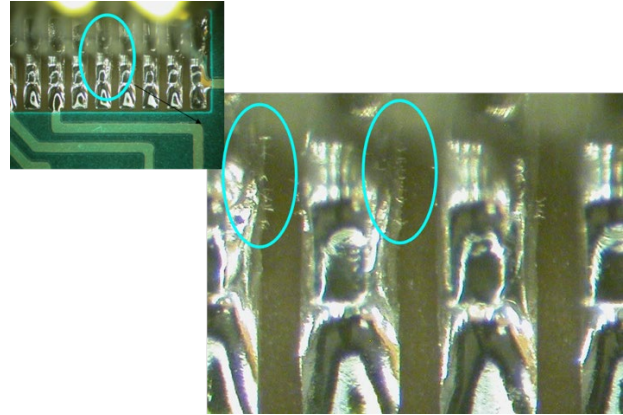
**Case #4: Tin/Copper IMCs Masquerading As Tin Whiskers - Inadequate Tinning Pot Protocols**

The implementation of the Restriction of Hazardous Substances (RoHS) European Union (EU) Directive in 2005 resulted in the introduction of pure tin as an acceptable surface finish for printed circuit boards and component terminations. A drawback of pure tin surface finishes is their potential to form tin whiskers. The tin whisker metallurgical phenomenon is associated with tin rich/pure tin materials and has been a topic of intense industry interest [68-73]. Figure 26 illustrates tin whiskers observed on a component lead and in an immersion tin surface finished plated through hole that was incorrectly plated.



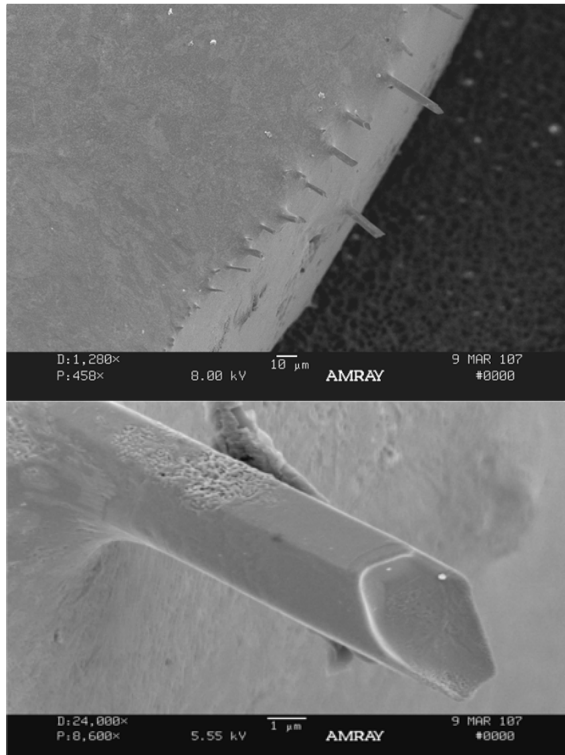
**Figure 26:** Tin Whiskers Observed on a Component Lead (top) and in a Plated Through Hole (bottom) [74]

As previously discussed SnPb soldering processes result in the formation of the Cu<sub>6</sub>Sn<sub>5</sub> IMC phase which can be observed distributed around the solder joint microstructure as needles. The Cu<sub>6</sub>Sn<sub>5</sub> intermetallic needles have a hollow, hexagonal geometry. One industry failure analysis documented a case of mistaken identity of tin whiskers and copper/tin IMCs. The inspection of a printed circuit assembly revealed tin whiskers on a quad flat pack (QFP) component (Figure 27).

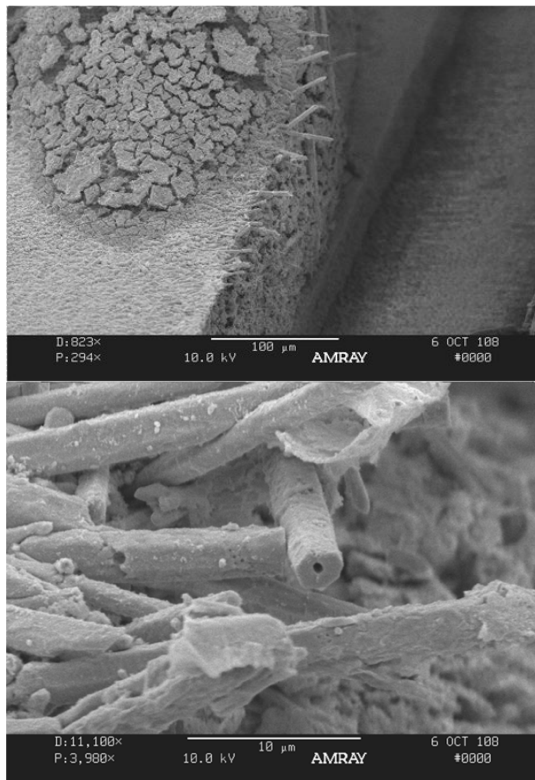


**Figure 27:** Observed Structures on QFP Component

Scanning electron microscopy (SEM) analysis results revealed the identity of the whisker-like anomalies observed on the QFP component. The SEM EDX results show a copper/tin element ratio of the anomalies to be approximately six parts copper to five parts tin which would correspond to the Cu<sub>6</sub>Sn<sub>5</sub> tin/copper IMC phase [75]. The Cu<sub>6</sub>Sn<sub>5</sub> tin/copper IMC phase forms in a needle morphology as the copper content of the solder begins to exceed approximately 0.3% [76, 1]. The Cu<sub>6</sub>Sn<sub>5</sub> intermetallic needles often form and grow preferentially from component lead and/or printed wiring pad edges. Figures 28 and 29 illustrate the QFP defects observed during SEM analysis. A review of the QFP component history revealed that QFP component was not placed during automated assembly process due to a lack of QFP component availability. The QFP was manually soldered by an operator. Further investigation of the operator's QFP soldering procedure did not reveal any unusual or incorrect soldering technique or procedure. It is hypothesized that original QFP tin/lead surface finish contained higher than normal copper content and the manual soldering operation resulted in the formation of the Cu<sub>6</sub>Sn<sub>5</sub> tin/copper intermetallic needles. A solder wick and re-soldered process was conducted on QFPs that exhibited the Cu<sub>6</sub>Sn<sub>5</sub> tin/copper intermetallic needles and no reoccurrence of the Cu<sub>6</sub>Sn<sub>5</sub> tin/copper intermetallic needles was observed after completion of the process.



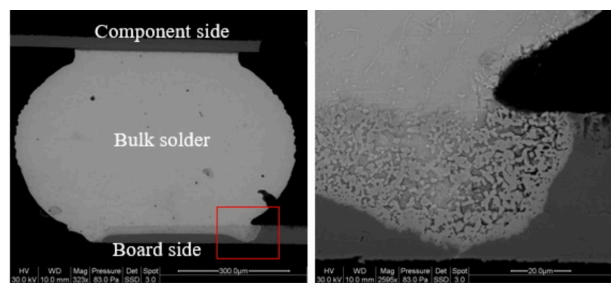
**Figure 28:** Scanning Electron Microscopy Image of  $\text{Cu}_6\text{Sn}_5$  IMC Phase As Soldered



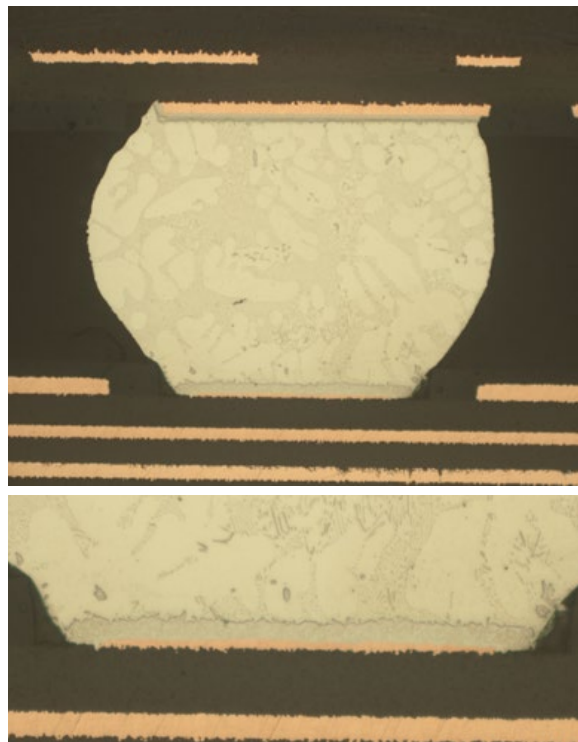
**Figure 29:** Scanning Electron Microscopy Image of  $\text{Cu}_6\text{Sn}_5$  IMC Phase After Etching

### Case #5: Improper Rework Processes Impacting Copper/Tin IMC Phases

Excessive IMC phase formation is typically the result of improper soldering or rework/repair processes. Excessive soldering temperatures or soldering dwell times allows the formation of thick IMC phase thicknesses at the expense of the printed circuit board component pad. Figure 30 illustrates the impact of five rework operations on a BGA component resulting in the excessive copper/tin IMC and dissolution of the BGA pad. Figure 31 illustrates a more severe case of BGA improper rework where the component copper pad is nearly eliminated due to dissolution by the SAC solder alloy.



**Figure 30:** Excessive Copper/Tin IMC Formation At BGA Copper Pad After 5 Rework Operations [Photos courtesy of CALCE]



**Figure 31:** Excessive BGA Component Rework Resulting In Near Complete Pad Dissolution/Excessive IMC [77]

The electronics industry focus on the IMC phases/IMC thicknesses results in misinterpretation of solder joint failures and root cause corrective action. The root causes of the discussed detailed example cases revealed a wide range of issues: improper maintenance, excessive rework procedures, inadequate tinning pot protocols, specific high strain commercial product application and incorrect/inadequate soldering processes. The industry emphasis should be on better soldering processes, rigidly controlled rework protocols and detailed focus failure analysis. The industry data demonstrates that brittle IMC phases should not be a primary concern for solder joint reliability. Vianco's summary of the influence of the IMC phase on solder joint reliability is a perfect summary: "...the propensity for failure to occur in the IMC layer depends upon the following factors: (1) the strength of the solder alloy; (2) the rate at which a load is applied to the joint (relating to the strain rate sensitivity of the solder alloy); (3) the brittleness of the IMC layer composition; (4) the thickness of the IMC layer." [1]. Over emphasis of IMC phase as a primary solder joint reliability issue only consumes cost/time resources with no contribution of problem resolution.

## CONCLUSION

The emphasis on the influence of IMC phases on solder joint reliability in the electronics industry is clearly overstated and often excessive. A review of industry publications/cases clearly shows that solder joint reliability is impacted by IMC phases with root causes of incorrect soldering processes or inadequate process procedure. The list of solder joint reliability root cause failures should not include IMC phases as a primary solder joint failure mode.

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